

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 09792909-5918

First Named Inventor or Application Identifier

Shiro Uchida

Express Mail Label No: EV328250085US

Only for new non-provisional applications under 37 CFR 1.53(b))

ADDRESS TO: Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Arlington, VA 222313-1450

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ACCOMPANYING APPLICATION PARTS

1. ☒ Specification Total Pages 29
2. ☒ Drawing(s) (35USC 113) Total Pages 9
3. ☒ Declaration and Power of Attorney Total Pages 3
 - a. ☒ Unexecuted(original or copy)
 - b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)
4. ☒ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

[Note Box 4 Below]

- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting Inventor(s) named in the prior appln., see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☒ Assignment Papers (cover sheet & documentation)
Sony Corporation (copy from parent)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document to follow (if applicable)
8. ☒ Information Disclosure
☒ Copies of IDS ☒ Statement (IDS)/PTO-1449 Citations
9. ☐ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Applicant is a Small Business Entity
12. ☒ Copy of front page of Japanese priority document No. P2001-104683 filed April 3, 2001, which was filed in parent application Serial No. 10/115,314.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) ☒ of U.S. application No. 10/115,314 filed April 3, 2002, based on Japanese application No. P2001-104683 filed April 3, 2001.

CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$770.00
TOTAL CLAIMS	7		\$18.00	
INDEPENDENT CLAIMS	2		86.00	
	ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO		290.00	0.00
			TOTAL FEE	\$770.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ Please charge attorney's firm American Express Account No. ██████████ in the amount of \$ 770.00 to cover the above fees. PTO Form 2038 is enclosed for that purpose.

☐ A check in the amount of \$ to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
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SIGNATURE

David R. Metzger (Reg. No. 32,919)

Date: April 9, 2004

David R. Metzger
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April 9, 2004

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RE: New U.S. Continuation-in-Part Application for Letters Patent entitled
"SEMICONDUCTOR LASER DEVICE AND FABRICATION METHOD
THEREOF"

Applicant(s): Shiro Uchida, et al.
Attorney Docket No. 09792909-5918

Dear Sir:

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,
including 1 claims (1 independent), 9 sheets of drawings (Figs. 1-9) and the filing fee of
\$770.00 on behalf of


SHIRO UCHIDA and TSUYOSHI TOJO

and request that the application be assigned a serial number and filing date pursuant to the
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL LLP

By:


David R. Metzger

DRM:kat
Enclosures